

# POWER OF ATTORNEY and CORRESPONDENCE ADDRESS INDICATION FORM

Application Number	10/690,802
Filing Date	October 21, 2003
First Named Inventor	Yun He
Title	Oxindoles With Anti-Hiv Activity
Art Unit	1616
Examiner Name	N/A
Attorney Docket Number	021288-001910US
Clients' Ref. Nos.	P1081US10; 958.2/TSR0688

I hereby app	oint:					
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OR						
Practition	ner(s) naı	med below:				<u></u>
		Name		Registration	n Number	
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☐ Applicar	nt/Invento	or.				
		rd of 50% of the entire interest. See 3 37 CFR 3.73(b) is enclosed. (Form PT		1.		
		SIGNATURE of Applic	ant or As	signee of Reco	rd	
Name	timal	L Smith		,		
Signature	27	2 Smit				
Date	3/20/04 Telephone 858-812-1547					
	s of all the	inventors or assignees of record of the enti- ature is required, see below*.	ire interest o	r their representat	ive(s) are required. S	ubmit multiple
☐ *Total of		forms are submitted			_	



## POWER OF ATTORNEY and CORRESPONDENCE ADDRESS INDICATION FORM

10/690,802
October 21, 2003
Yun He
Oxindoles With Anti-Hiv Activity
1616
N/A
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Please recog	gnize or ch	ange the correspondence address for	the above	-identified applic	ation to:	
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		rd of 50% of the entire interest. See 3 37 CFR 3.73(b) is enclosed. (Form PT		1.		
		SIGNATURE of Applic	ant or As	signee of Reco	rd	
Name	Thoma	as Fitting				
Signature	1	Sum talls				
Date				Telephone	858-784-29	
NOTE: Signatur forms if more th	res of all the	inventors or assignees of record of the enti- ature is required, see below*.	ire interest o	r their representati	ve(s) are required. Su	bmit multiple
☐ *Total of		forms are submitted.				



#### **POWER OF ATTORNEY**

#### KNOW ALL PERSONS BY THESE PRESENTS:

That IRM LLC, a limited liability company organized under the laws of Delaware ("IRM"), does hereby make, constitute and appoint TIMOTHY L. SMITH, Reg. No. 35,367, of the GENOMICS INSTITUTE OF THE NOVARTIS RESEARCH FOUNDATION ("GNF"), dba the NOVARTIS INSTITUTE FOR FUNCTIONAL GENOMICS, Inc. ("NIFG"), 10675 John Jay Hopkins Drive, Suite E225, San Diego, CA 92121, its true and lawful attorney, for it and in its name, place and stead, with full power of substitution to represent and act for it in all matters pertaining to the filing and prosecution of patent applications in the United States and internationally, and in general to do all things and to perform on the part of IRM all acts as such attorney determines are necessary or advisable, including, without limitation, the appointment of attorneys to file and prosecute a patent application, signing a reply to an Office action, requesting a continued prosecution application, acquiescing to express abandonment of an application, signing of a terminal disclaimer, consenting to the filing of a reissue application, consenting to correction of inventorship, signing an issue fee transmittal, and signing all other documents, agreements, assignments, and instruments required, contemplated by or deemed advisable in connection with this Power of Attorney, and otherwise to act for and in the name of IRM and in its behalf with respect to the transactions described herein fully as if the duly authorized officers of IRM were then personally present and acting.

IRM hereby ratifies and confirms all that said attorneys or their substitutes shall lawfully do, or cause to be done, by virtue hereof.

IN WITNESS WHEREOF, IRM has caused this Power of Attorney to be signed by its officer thereunto duly authorized this 15 day of March, 2003

IRM LLC

By:

Name: B. Aebischer

I. Reinhardt

Title:

Secretary

President



Attorney Docket No. 021288-001910US Clients' Ref. Nos.: P1081US10; 958.2/TSR0688

#### STATEMENT UNDER 37 CFR 3.73(b) Applicant/Patent Owner: Yun He, et al. Filed/Issue Date: October 21, 2003 Application No./Patent No.: 10/690,802 Entitled: OXINDOLES WITH ANTI-HIV ACTIVITY IRM LLC a Delaware LLC (Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.) states that it is: the assignee of the entire right, title, and interest; or П an assignee of less than the entire right, title and interest. $\boxtimes$ The extent (by, percentage) of its ownership interest is 50% in the patent application/patent identified above by virtue of either: A. An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached. OR B. 🛛 A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below: To : IRM LLC 1. From: Yun He, Tao Jiang, David Archer Ellis, Kelli L. Kuhen, Baogen Wu, Badry The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_, or for which a copy thereof is attached. 2. From: Tom Yao-Hsiang Wu To :The Scripps Research Institute The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached. 3. From: Yun He, Tao Jiang, David Archer Ellis, To : IRM LLC Kelli L. Kuhen, Baogen Wu The document was recorded in the United States Patent and Trademark Office at Reel 013899, Frame 0320, or for which a copy thereof is attached. 4. From: Tom Yao-Hsiang Wu To :The Scripps Research Institute The document was recorded in the United States Patent and Trademark Office at Reel <u>013762</u>, Frame <u>0619</u>, or for which a copy thereof is attached. Copies of assignments or other documents in the chain of title are attached. The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee. Signature General Power of Attached Title 858-812-1547 Telephone number



Attorney Docket No. 021288-001910US Clients' Ref. Nos.: P1081US10; 958.2/TSR0688

Title

#### STATEMENT UNDER 37 CFR 3.73(b) Applicant/Patent Owner: Yun He, et al. Application No./Patent No.: 10/690,802 Filed/Issue Date: October 21, 2003 Entitled: OXINDOLES WITH ANTI-HIV ACTIVITY IRM LLC a Delaware LLC (Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.) states that it is: the assignee of the entire right, title, and interest; or Ø an assignee of less than the entire right, title and interest. The extent (by, percentage) of its ownership interest is 50% in the patent application/patent identified above by virtue of either: A. An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached. **OR** B. \times A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below: 1. From: Yun He, Tao Jiang, David Archer Ellis, To : IRM LLC Kelli L. Kuhen, Baogen Wu, Badry The document was recorded in the United States Patent and Trademark Office at \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached. 2. From: Tom Yao-Hsiang Wu To :The Scripps Research Institute The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached. 3. From: Yun He, Tao Jiang, David Archer Ellis, To : IRM LLC Kelli L. Kuhen, Baogen Wu The document was recorded in the United States Patent and Trademark Office at Reel 013899, Frame 0320, or for which a copy thereof is attached. To : The Scripps Research Institute 4. From: Tom Yao-Hsiang Wu The document was recorded in the United States Patent and Trademark Office at Reel 013762, Frame 0619, or for which a copy thereof is attached. Copies of assignments or other documents in the chain of title are attached. The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee. Thomas Fitting Date 858-784-2937 Signature Telephone number Chief Patent Counsel



Attorney Docket No.: 021288-001910US Client Reference No.: P1081US10

#### ASSIGNMENT OF PATENT APPLICATION

**JOINT** 

WHEREAS, Yun He of 10632 Senda Acuario, San Diego, CA 92130; Tao Jiang of 10721 Calle Mar De Mariposa, San Diego, CA 92130; Kelli L. Kuhen of 2343-1 Caringa Way, Carlsbad, CA 92009; David Archer Ellis of 6227 Caminito Carrena, San Diego, CA 92122; Baogen Wu of 3969 Nobel Dr., #278, San Diego, CA 92122; Badry Bursulaya of 4018 Nobel Dr., #301, San Diego, CA 92122 hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below-identified application for United States Letters Patent:

Title of Invention:

OXINDOLES WITH ANTI-HIV ACTIVITY

Date(s) of execution of Declaration: 3/03/04, 2/10/04, 2/19/04, 2/16/04,

2/12/04, and 3/03/04, respectively

Filing Date:

October 21, 2003

Application No.:

10/690,802; and

WHEREAS, IRM LLC, a Delaware LLC, located at P.O. Box HM 2388, Hamilton HM LX, BERMUDA, hereinafter referred to as "ASSIGNEE," is desirous of acquiring an interest in the invention and application and in any U.S. Letters Patent and Registrations which may be granted on the same;

For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents do assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including patent applications and instruments of transfer, and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

Assignors hereby authorize and request Townsend and Townsend and Crew LLP, Two Embarcadero Center, Eighth Floor, San Francisco, CA 94111-3834, to insert herein above the application number and filing date of said application when known.

Assignment Attorney Docket No.: 021288-001910US Page 2
IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.
Dated: 3/2/04 Yun He
STATE OF CALIFORNIA ) ss.
COUNTY OF )
On 3/3/04, before me, OFELIA ROSADO, personally appeared Yun He, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.
WITNESS my hand and official seal.  OFELIA ROSADO Commission # 1350591 Notary Public - California San Diego Courte San Diego Courte
My Commission Expires: 4/6/09
Dated: 2/10/04 Fan Jiang Cons
STATE OF CALIFORNIA )  SS.  COUNTY OF )
On Felencey 15 2003, before me, OFELIA ROSADO, personally appeared Tao Jiang, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.
WITNESS my hand and official seal.
Delia Ponto NOTARY PUBLIC
My Commission Expires: $4/9/06$
CFELIA ROSADO Commission # 1350591 Notary Public - California San Diego County My Comm. Expires Apr 9, 2006

Assignment Attorney Docket No.: 021288-001910US Page 3	
Dated: 02/19/04	Kelli L. Kuhen
STATE OF CALIFORNIA )	SS.
COUNTY OF )	
On 2/19/04	, before me, OFEWA ROSADO, resonally known to me (or proved to me on the basis of satisfactory
evidence) to be the person whose name that he/she executed the same in his/her	is subscribed to the within instrument, and acknowledged to me authorized capacity, and that by his/her signature on the behalf of which the person acted, executed the instrument.
WITNESS my hand and official seal.	OFELIA ROSADO Commission & 13505: Notary Public - Califor San Diego County My Comm. Expires Apr 9,
My Commission Expires: 4/9/06	
Dated: 02/16/04	David Archer Ellis
STATE OF CALIFORNIA ) COUNTY OF )	SS.
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WITNESS my hand and official seal.	
	Ofelia Rosado NOTARY PUBLIC
My Commission Expires: 4/9/06	-
	OFELIA ROSADO Commission # 1350591 Notary Public - California San Diego County My Comm. Expires Apr 9, 2006

Assignment		
Attorney Docket No.: 021288-001910US Page 4	11	
Dated: 02/12/09	Page	
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STATE OF CALIFORNIA )		
) SS.		
COUNTY OF )		
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		OFELIA ROSADO
WITNESS my hand and official seal.		Commission # 1350591
		Notary Public - California
	Oflia Rosodo NOTARY PUBLIC	San Diego County My Comm. Expires Apr 9, 2009
	NOTARY PUBLIC	
1/2/2	,	
My Commission Expires: 4/9/06		
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Dated: 03/03/04	B. Bursielaya	
Dated.	B. Bursilaya  Badry Bursulaya	
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) ss.		
COUNTY OF )		
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	Ofelia Kosolo NOTARY PUBLIC	
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My Commission Expires: 4/8/06	:	
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	OFELIA ROSADO Commission # 1350591	
	Notary Public - California	
	San Diego County	
	My Comm. Expires Apr 9, 2006	

Attorney Docket No.: 021288-001910US Client Reference No.: 958.2/TSR0688

#### ASSIGNMENT OF PATENT APPLICATION

SOLE

WHEREAS, Tom Yao-Hsiang Wu of 2575 Ardath Rd., La Jolla, CA 92037 hereinafter referred to as "Assignor," is the inventor of the invention described and set forth in the below-identified application for United States Letters Patent:

Title of Invention:

OXINDOLES WITH ANTI-HIV ACTIVITY

Date(s) of execution of Declaration: March 2, 2004

Filing Date:

October 21, 2003

Application No.:

10/690,802; and

WHEREAS, The Scripps Research Institute, a private, non-profit, research organization, located at 10550 North Torrey Pines Road, La Jolla, CA, U.S.A., 92037, hereinafter referred to as "ASSIGNEE," is desirous of acquiring an interest in the invention and application and in any U.S. Letters Patent and Registrations which may be granted on the same;

For good and valuable consideration, receipt of which is hereby acknowledged by Assignor, Assignor has assigned, and by these presents does assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on any patent application claiming priority from the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignor had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignor further agrees that Assignor will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including applications and instruments of transfer, and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

Assignor hereby authorizes and requests Townsend and Townsend and Crew LLP, Two Embarcadero Center, Eighth Floor, San Francisco, CA 94111-3834, to insert herein above the application number and filing date of said application when known.

Attorney Docket No.: 021288-001910US Page 2	
IN TESTIMONY WHEREOF, As Dated: 3/1/4	ssignor has signed his/her name on the date indicated.
STATE OF CALIFORNIA ) ss.	
COUNTY OF )	
On, befo	ore me,
WITNESS my hand and official seal.	
-	NOTARY PUBLIC
My Commission Expires:	

60136210 v1

Assignment



D21288-001960US

**AUGUST 26, 2003** 

PTAS

TOWNSEND & TOWNSEND AND CREW LLP JEFFRY S. MANN, PH.D. TOW EMBARCADEERO CENTER, 8TH FLOOR SAN FRANCISCO, CA 94111-3834 Deputy Under Secretary of Commerce For Intellectual Property and Deputy Director of the United States Patent and Trademark Office Washington, DC 20231 www.uspto.gov



UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 03/31/2003

REEL/FRAME: 013899/0320

NUMBER OF PAGES: 5

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

HE, YUN

DOC DATE: 01/23/2003

ASSIGNOR:

. JIANG, TAO

DOC DATE: 02/27/2003

**ASSIGNOR:** 

ELLIS, DAVID ARCHER

DOC DATE: 02/26/2003

ASSIGNOR:

KUHEN, KELLI L.

DOC DATE: 02/26/2003

ASSIGNOR:

WU, BOAGEN

DOC DATE: 03/07/2003

ASSIGNEE:

IRM LLC

P.O. BOX HM 2388

HAMILTON HM LX, BERMUDA

013899/0320 PAGE 2

SERIAL NUMBER: 60420482

PATENT NUMBER:

FILING DATE: 10/21/2002

ISSUE DATE:

VIOLET MCCOY, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS

04-02-2003

ncket No. 021288-001900US

Form PTO-1595	RECORD		6	U.S. Department	of Commerce
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Tab settings → → ▼  To the Honorable Commissioner o	▼	10	02407020	' <b>V</b>	▼
	f Patents and Tra	idemarks. f	Please record the attached original 2. Name and address of record	documents or copy thereo	f
Name of conveying party(ies):	221	<b>/</b> )2	2. Name and address of rec	ceiving party(les)	
Yun He Tao Jiang	3.3h	$\mathcal{J}\mathcal{D}$	Name: IRM LLC		
David Archer Ellis			Street Address: P.O. Box	cHM 2388	
Kelli L. Kuhen Baogen Wu					
Baogen Wa			City: <u>Hamilton HM LX</u>	Country: BERMUDA	
Additional name(s) of conveying party(ies) attached	ed? ☐ Yes 🗵	No.			. <b></b>
3. Nature of conveyance:	-		Additional name(s) and add	ress(es) attached?	es 🖾 No
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4. Application number(s) or patent number(s	s):				
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A. Patent Application No(s): 60/420,4	82		B. Patent No(s):		
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	Additional nu	mbers attac	 hed? ☐ Yes ⊠ No		•
5. Name and address of party to whom cor			6. Total number of application	ons and patents involved	1 1
concerning document should be mailed:					
Name: Jeffry S. Mann, Ph.D.			7. Total fee (37 CFR 3.41):	\$ <u>40.00</u>	
TOWNSEND AND TOWNSEND AN Two Embarcadero Center, 8 <sup>th</sup> Floor			☐ Enclosed	•	
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Jeffry S. Mann, Ph.D.	( -		72	March 25, 2003	
Name of Person Signing	_	2/ 5	Signature	Date	
Atty. Reg. No. 42,837					
Total num	ber of pages inc	luding cover	sheet, attachments and docume	<u>nt 5</u>	

Attorney Docket No.: 021288-001900US

#### ASSIGNMENT OF PROVISIONAL PATENT APPLICATION

**JOINT** 

WHEREAS, Yun He of 10632 Senda Acuario, San Diego, CA 92130; Tao Jiang of 10721 Calle Mar De Mariposa, San Diego, CA 92130; David Archer Ellis of 1631 State St. #2, San Diego, CA 92101; Kelli L. Kuhen of 2340 Carol View Dr., Apt. 107, Cardiff by the Sea, CA 92007; Baogen Wu of 7588 Charmant Dr., Apt. 1924, San Diego, CA 92122 hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below-identified provisional patent application:

Title of Invention: OXINDOLES HAVING ANTI-HIV ACTIVITY

Filing Date: October 21, 2002

Application No.: 60/420,482; and

WHEREAS, IRM LLC, a Delaware LLC, located at P.O. Box HM 2388, Hamilton HM LX, BERMUDA, hereinafter referred to as "ASSIGNEE," is desirous of acquiring an interest in the invention and application and in any U.S. Letters Patent and Registrations which may be granted on any patent application claiming priority from the same;

For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents do assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on any patent application claiming priority from the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including applications and instruments of transfer, and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

Assignors hereby authorize and request Townsend and Townsend and Crew LLP, Two Embarcadero Center, 8<sup>th</sup> Floor, San Francisco, CA 94111-3834, to insert herein above the application number and filing date of said application when known.

rage 2
IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.
Dated: 1/23/03 Unite
Dated:Yun He
STATE OF CALIFORNIA )
COUNTY OF Son Diego ) ss.
on /23/03, before me, OFELIA ROSADO, personally appeared Yun He, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.
WITNESS my hand and official seal.  OFELIA ROSADO Commission # 1350591 Notary Public - Catiforni San Diego County My Comm. Expires Apr 9, 20
NOTARY PUBLIC
· · ·
My Commission Expires: 4/9/06
Dated: 02/27/83  STATE OF CALIFORNIA )  SS.  COUNTY OF Sn Diegn  On 2/27/03  personally appeared Tao Jiang, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.  WITNESS my hand and official seal.
My Commission Expires: 4/9/06  OFELIA ROSADO Commission # 1350591 Notary Public - Catifornia San Diego County My Comm. Expires Apr 9, 2006

4. 33. 11

Assignment

Attorney Docket No.: 021288-001900US

Assignment Attorney Docket No.: 021288-001900US Page 3
Dated: 2-24-03 /anx11
David Archer Ellis
STATE OF CALIFORNIA )
COUNTY OF Son Diego ) ss.
On 2/26/03, before me, OFELIA ROSADO personally appeared David Archer Ellis, personally known to me (or proved to me on the basis of
satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her
signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.
WITNESS my hand and official seal.  OFELIA ROSADO  Commission # 1350591  Notary Public - California  San Diego County
My Comm. Expires Apr 9, 2008
Ofelia Romoto
NOTARY PUBLIC
My Commission Expires: 4/9/06
Dated: 02.26.03 Killi & Kichen
Kelli L. Kuhen
STATE OF CALIFORNIA )
COUNTY OF Son Diago ) ss.
On $\frac{2/26/03}{}$ , before me, OFELIA ROSADO
personally appeared Kelli L. Kuhen, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.
WITNESS my hand and official seal.
Ofelia Rosodo NOTARY PUBLIC
My Commission Expires: 4/9/06
OFELIA ROSADO

OFELIA ROSADO
Commission # 1350591
Notary Public - California
San Diego County
My Comm. Expires Apr 9, 2008

Assignment Attorney Docket No.: 021288-001900US Page 4  Dated: 03/07/2005  Baogen Wu
STATE OF CALIFORNIA )
COUNTY OF San Diego) ss.
On Morch 7 2002, before me, OFELIA ROSADO personally appeared Baogen Wu, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.  WITNESS my hand and official seal.
My Commission Expires: 4/9/06

OFELIA ROSADO
Commission # 1350591
Notary Public - California
San Diego County
My Comm. Expires Apr 9, 2008

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JUNE 27, 2003

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TOWNSEND AND TOWNSEND AND CREW LLP JEFFRY S. MANN TWO EMBARCADERO CENTER SAN FRANCISCO, CA 94111-3834 Under Secretary of Commerce For Intellectual Property and Director of the United States Patent and Trademark Office Washington, DC 20231 www.uspto.gov



UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 02/19/2003

REEL/FRAME: 013762/0619

NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

WU, TOM YAO-HSIANG

DOC DATE: 01/30/2003

ASSIGNEE:

SCRIPPS RESEARCH INSTITUTE, THE 10550 NORTH TORREY PINES ROAD LA JOLLA, CALIFORNIA 92037

SERIAL NUMBER: 60420481

PATENT NUMBER:

FILING DATE: 10/21/2002

ISSUE DATE:

JEEVON JONES, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS

### 02-21-2003

### Attorney Docket No. 021288-002300US

Form PTO-1595 (Rev. 10-02)		eet eet	U.S. D U.S. Pate	Department of Commerce ent and Trademark Office
OMB No. 0651-0027 (exp. 5/31/2002)	10236948	35 _		,
Tab settings ⇔⇔ ▼  To the Honorable Commissioner of	▼		ed original documents of	or copy thereof
Name of conveying party(ies):	of Faterits and Trademarks.	2. Name and address	ss of receiving party(i	es)
Tom Yao-Hsiang Wu	2-19-03	Name: The Scrip	ps Research Institute	е
Additional name(s) of conveying party(ies) attached?  Yes No.		Street Address: 1	0550 North Torrey P	ines Road
3. Nature of conveyance:				
	Merger	City: <u>La Jolla</u>	State: <u>CA</u>	ZIP: <u>92037</u>
Security Agreement	Change of Name	Additional name(s) a	nd address(es) attac	hed? ☐ Yes ⊠ No .
Other:				
Execution Date: January 30, 2003				17 (Care of the Care of the Ca
4. Application number(s) or patent number	<u> </u>		FINALIO	
If this document is being filed together with a new application, the execution date of the application is:				
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A. Patent Application No(s): 60/420	,481	B. Patent No(s):	:	6 全位
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A. Patent Application No(s): 60/420,481  B. Patent No(s):  Additional numbers attached?   Yes   No  Name and address of party to whom correspondence  6. Total number of applications and patents involved 1				
Name and address of party to whom correspondence concerning document should be mailed:		6. Total number of a	pplications and pater	nts involved 1
Name: Jeffry S. Mann, Ph.D. TOWNSEND AND TOWNSEND AND CREW LLP Two Embarcadero Center, 8 <sup>th</sup> Floor San Francis∞, California 94111-3834 (415) 576-0200		7. Total fee (37 CFR	3.41):	\$40.00
		☐ Enclosed		
		☑ Authorized to be charged to deposit account		
		8. Deposit account number: 20-1430		
		(Attach duplicate copy of	of this page if paying by	deposit account)
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9. Statement and signature.	20 1.01 002			
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Jeffry S. Mann, Ph.D. February 10, 2003				
Name of Person Signing Atty. Reg. No. 42,837  Date				
Total number of pages including cover sheet, attachments and document 2				
Mail documents to be recorded with required cover sheet information to:				
Commissioner of Patents and Trademarks, Box Assignments				

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02/20/2003 ECOOPER 00000058 201430 60420481

Washington, D.

In Consideration of One Dollar and other good and valuable considerations, the receipt of which is hereby acknowledged, the entire right, title and interest in the invention or improvements of the undersigned in <a href="ALKYLATED CYCLOPROPYL-OXINDOLES HAVING ANTI-HIV ACTIVITY">ALKYLATED CYCLOPROPYL-OXINDOLES HAVING ANTI-HIV ACTIVITY</a> and in the application for Letters Patent of the United States therefor, and in any reissue or extension of any letters Patent that may be granted upon said application are hereby assigned by the undersigned to <a href="THE SCRIPPS RESEARCH INSTITUTE">THE SCRIPPS RESEARCH INSTITUTE</a>, a public benefit, not-for-profit corporation of California, located at 10550 North Torrey Pines Road, La Jolla, USA 92037, and the heirs, successors, legal representatives and assigns of THE SCRIPPS RESEARCH INSTITUTE (herein collectively called said Assignee), and the Commissioner of Patents and Trademarks is hereby authorized and requested by the undersigned to issue said Letters Patent to said Assignee.

For said considerations it is hereby agreed by the undersigned, upon the request of said Assignee, to execute any necessary and proper oaths or affidavits relating to said application or required for the filing or prosecution of any divisional or continuing application thereof or for the filing or prosecution of any application for the reissue or extension of any Letters Patent that may be granted on said invention or improvements that said Assignee may deem necessary or expedient, and for said considerations it is further agreed by the undersigned, upon request of said Assignee, in the event of said application or any division thereof, or Letters Patent issued thereon, or any reissue or application for the reissue thereof, becomes involved in Interference, to cooperate to the best of the ability of the undersigned with said Assignee in the matters of preparing and executing the preliminary statement and giving and producing evidence in support thereof, and further to perform, on such request, any and all affirmative acts to obtain said Letters Patent and vest all rights therein hereby conveyed in said Assignee as fully and entirely as the same would have been held and enjoyed by the undersigned if this Assignment and sale had not been made. And for said considerations the entire right, title and interest in said invention or improvements, including all priority rights, and the right to claim priority rights and the privileges and benefits thereof, including those under the International Convention, and all other Conventions, and the right to file applications for patent in said Assignee's own name for said invention or improvements in each and every country of the world are hereby assigned and granted by the undersigned to said Assignee. It is further agreed by the undersigned, upon the request of said Assignee, to execute any and all documents that shall be required of the undersigned to be executed in connection with any and all applications for foreign Letters Patent therefor, including the prosecution thereof, and to execute any and all documents necessary to invest title in said foreign applications and patents in said Assignee. The attorneys of record in said application for patent are hereby authorized and requested by the undersigned to insert in this Assignment the date and serial number thereof in the places provided therefor.

State of California

County of San Diego

On January 30, 2003 before me, Nancy I. Barter Notary Public

personally known to me - OR - proved to me on the basis of satisfactory evidence to

be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

7000	-
	NANCY L. BARKER Commission # 1318228
	Notry Public - California San Diego County
1	Comm. Expires Aug 18, 2005
•	The state of the s

Notary Public

My Commission Expires: 8/18/05